

Attorney's Docket No.: 16219-00

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1621 Applicant: Sergey A. Selifonov

Examiner: Sikarl A. Witherspoon Serial No.: 10/523,059

: October 17, 2005 Conf. No.: 8200 Filed

: PREPARATION OF LACTIC ACID DERIVATIVES AND THEIR USE Title

# Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

- 1. Information Disclosure Statement (1 page);
- 2. Form PTO-1449 (1 page);
- 3. Copies of Cited References (14 references); and
- 4. A Return Postcard.

Please date stamp and return the enclosed postcard. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

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#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

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Lisa M. Becker

Typed or Printed Name of Person Signing Certificate



Attorney's Docket No.: 16219-003US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sergey A. Selifonov Art Unit: 1621

Serial No.: 10/523,059 Examiner: Sikarl A. Witherspoon

Filed : October 17, 2005 Conf. No. : 8200

Title : PREPARATION OF LACTIC ACID DERIVATIVES AND THEIR USE

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the Desig. ID Nos.: AG and AM.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Date:  $\frac{71006}{}$ 

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Lisa M. Becker

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Substitute Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16219-003US1	Application No. 10/523,059	
uu r 7 2006 <sup>o</sup> \ bv Ap	losure Statement	Applicant Sergey A. Selifonov		
Use several sh	eets if necessary)	Filing Date October 17, 2005	Group Art Unit 1621	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,280,065	10/18/66	Langner			
	AB	3,351,485	11/07/67	Langner			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	·No
	ÁC							

	Other D	ocuments (include Author, Title, Date, and Place of Publication)			
Examiner	Desig.				
Initial	ID	Document			
	AD	Andrus et al., "Anti-Selective Glycolate Aldol Additions with an Oxapyrone Boron Enolate," Org. Lett., 2000, 2(19):3035-3037			
	AE	Bechtold et al., "Perfectly Alternating Copolymer of Lactic Acid and Ethylene Oxide as a Plasticizing Agent for Polylactide," Macromolecules, 2001, 34:8641-8648			
	AF	Bechtold et al., "Perfectly Alternating Copolymer of Lactic Acid and Ethylene Oxide as a Plasticizing Agent for Polylactide," Macromolecules, 2001, 34:8641-8648			
	AG	Bischoff, "Ringester aus Äthylenglykol und aus Glycerin," Chemische Berichten, 1907, 40:2803-2813			
	AH	Burke et al., "Polysubstituted Dihydropyrans via the Enolate Claisen Rearrangement. A Stereocontrolled Route to C-Pyranosides," J. Org. Chem., 1984, 49(22):4320-4322			
	AI	Deng and Gross, "Ring-opening bulk polymerization of $\epsilon$ -caprolactone and trimethylene carbo catalyzed by lipase Novozym 435," Int. J. Biol. Macromol., 1999, 25:153-159			
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	AK	Gross et al., "Polyester and polycarbonate synthesis by in vitro enzyme catalysis," <u>Appl. Microbiol.</u> Biotechnol., 2001, 55(6):655-660			
	AL	Hall, Jr. and Schneider, "Polymerization of Cyclic Esters, Urethans, Ureas and Imides," J. Am. Chem. Soc., 1958, 80(23):6409-6412			
	AM	Hollo, "Untersuchungen über den Einfluβ des Ring-Sauerstoffatoms auf die Reaktionsgeschwindigkeit gewisser Lactone," Chemische Berichten, 1928, 61:895-906			
	AN	Kobayashi et al., "Lipase-Catalyzed Degradation of Polyesters in Organic Solvents. A New Methodology of Polymer Recycling Using Enzyme as Catalyst," <u>Biomacromolecules</u> , 2000, 1(1):3-5			
	AO	Kumar and Gross, "Candida antartica Lipase B Catalyzed Polycaprolactone Synthesis: Effects of Organic Media and Temperature," Biomacromolecules, 2000, 1(1):133-138			
	AP	Namekawa et al., "Enzymatic Synthesis of Polyesters from Lactones, Dicarboxylic Acid Divinyl Esters, and Glycols through Combination of Ring-Opening Polymerization and Polycondensation," Biomacromolecules, 2000,1(3):335-338			
	AQ	Namekawa et al., "Lipase-catalyzed ring-opening polymerization of lactones to polyesters and its mechanistic aspects," Int. J. Biol. Macromol., 1999, 25(1-3):145-151			

Examiner Signature	Date Considered				
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with					
next communication to applicant.					